

**TRANSMITTAL FORM**

(to be used for all correspondence during pendency of filed application)

TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)	Application Number	10/810,232	
	Filing Date	March 26, 2004	
	First Named Inventor	Ryan Rifkin	
	Group Art Unit Number	2626	
	Examiner Name	Richemond Dorvil	
Total Number of Pages in This Submission	4*	Attorney Docket Number	23085-07809

ENCLOSURES (check all that apply)

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REMARKS: * No. of pages in this submission does not include pages of cited references

SIGNATURE OF ATTORNEY OR AGENT

Signature:	/Neil F. Maloney, Reg. No. 42,833/		
Attorney/Reg. No.:	Neil F. Maloney, Reg. No. 42,833	Dated:	August 8, 2006

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

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Typed or Printed Name:	Meera Yang	Dated:	August 8, 2006
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10810232 - GAU:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ryan Rifkin
APPLICATION NO.: 10/810,232
FILING DATE: March 26, 2004
TITLE: Speaker Recognition Using Local Models
EXAMINER: Richmond Dorvil
GROUP ART UNIT: 2626
ATTY. DKT. NO.: 23085-07809

CERTIFICATE OF MAILING

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By: Meera Yang

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INFORMATION DISCLOSURE STATEMENT**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

PATENT

- ☒ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.
Respectfully submitted,

Dated: August 8, 2006

By: /Neil F. Maloney, Reg. No. 42,833/

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/810,232
Filing Date	March 26, 2004
First Named Inventor	Ryan Rifkin
Art Unit	2626
Examiner Name	Richemond Dorvil
Attorney Docket Number	23085-07809

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/JR/	A1	US-5,271,088	12-14-1993	Bahler
/JR/	A2	US-5,414,755	05-09-1995	Bahler
/JR/	A3	US-6,539,351 B1	03-25-2003	Chen et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² - Number ² Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	³

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	⁴
/JR/	C1	ARYA et al., "An Optical Algorithm For Approximated Nearest Neighbor Searching In Fixed Dimensions," Journal of the ACM, Vol. 45, No. 6, November 1998, pp. 891-923.	
/JR/	C2	International Search Report and Written Opinion, PCT/US2004/09539; June 27, 2006.	
/JR/	C3	PELLOM et al., "An Efficient Scoring Algorithm For Gaussian Mixture Model Based Speaker Identification," IEEE signal processing letters, Vol. 5, 1998, pp. 281-284.	

Examiner Signature	/Justin Rider/	Date Considered	09/02/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.